

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))				Docket No. DC5148 PCT 1	
In Re Application Of: HORSTMAN et. al					
Application No. 10/568512	Filing Date	Examiner	Customer No. 00137	Group Art Unit	Confirmation No.
Title: CARBINOL FUNCTIONAL SILICONE RESINS					
Address to: Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450					
37 CFR 1.97(b)					
1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.					
37 CFR 1.97(c)					
2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:					
<div style="margin-left: 40px;"> <input type="checkbox"/> the statement specified in 37 CFR 1.97(e); </div>					
OR					
<div style="margin-left: 40px;"> <input type="checkbox"/> the fee set forth in 37 CFR 1.17(p). </div>					

15 FEB 2006

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(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

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Dated: Feb 14, 2006

CustomerNumber 00137

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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1

of 2

Complete if Known

Application Number	10/568512
Filing Date	
First Named Inventor	HORSTMAN
Art Unit	
Examiner Name	
Attorney Docket Number	DC5148 PCT1

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
		US- 5,362,821	11-8-1994	Decker et al.	
		US- 5,378,532	01-03-1995	Decker et al.	
		US- 5,405,688	04-11-1995	Decker et al.	
		US- 4,202,807	05-13-1980	Moretto et al.	
		US- 5,431,765	07-11-1995	Decker et al.	
		US- 5,013,577	05-07-1991	Wright et al.	
		US- 4,157,357	06-05-1979	Mine et al.	
		US- 4,125,510	11-14-1978	Antonen	
		US- 5,952,439	09-14-1999	Morita et al.	
		US- 5,516,858	05-14-1996	Morita et al.	
		US- 5,290,882	03-01-1994	Shiobara et al.	
		US- 4,877,822	10-31-1989	Itoh et al.	
		US- 4,722,951	02-02-1988	Yoshioka et al.	
		US- 5,283,279	02-01-1994	Hara et al.	
		US- 4,657,986	04-14-1987	Isayama et al.	
		US- 4,795,680	01-03-1989	Rich et al.	
		US- 5,840,806	11-24-1998	Komazaki et al.	
		US- 4,234,697	11-18-1980	Homan et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				

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Considered

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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Sheet	2	of	2

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		US- 5,262,507	11-16-1993	Decker et al.	
		US- 5,126,126	06-30-1992	Varaprath et al.	
		US- 5,290,901	03-01-1994	Burns et al.	
		US- 5,814,679	09-29-1998	Eckberg et al.	
		US- 6,297,331	10-02-2001	Langenhagen et al.	
		US- 5,939,491	08-17-1999	Wilt et al.	
		US- 6,593,417	07-15-2003	Anderson et al.	
		US- 5,152,984	10-06-1992	Varaprath et al.	
		US- 6,610,777	08-26-2003	Anderson et al.	
		US- 5,916,992	06-29-1999	Wilt et al.	
		US- 5,135,993	08-04-1992	Decker et al.	
		US- 5,102,960	04-07-1992	Imai et al.	
		US- 6,071,990	06-06-2000	Yip et al.	
		US- 5,891,969	04-06-1999	Mine et al.	
		US- 4,684,534	08-04-1987	Valentine	
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Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ *Number ⁴ *Kind Code ⁵ (if known)				

Examiner Signature	Date Considered	
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